

10/580875

APPROPRIATE TO 25 MAY 2009 5

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
2488.038

In Re Application Of: Levin et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
Unknown	Herewith	Unknown	23405	Unknown	Unknown

Title:

**TRANSDERMAL SYSTEM FOR SUSTAINED DELIVERY OF POLYPEPTIDES**

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

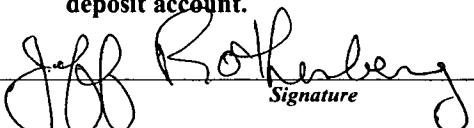
**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. 2488.038	
In Re Application of: Levin et al.				AP9 Rec'd PET/PTO 25 MAY 2006	
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
Unknown	Herewith	Unknown	23405	Unknown	Unknown
<b>Title:</b> TRANSDERMAL SYSTEM FOR SUSTAINED DELIVERY OF POLYPEPTIDES					
<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))					
<input type="checkbox"/> A check in the amount of _____ is attached.					
<input type="checkbox"/> The Director is hereby authorized to charge and credit Deposit Account No. _____ as described below.					
<input type="checkbox"/> Charge the amount of _____					
<input type="checkbox"/> Credit any overpayment.					
<input type="checkbox"/> Charge any additional fee required.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.					
<b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>					
<b>Certificate of Transmission by Facsimile*</b>			<b>Certificate of Mailing by First Class Mail</b>		
<div>I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa</div> <div style="border-top: 1px solid black; margin-top: 10px;">(Date)</div> <div style="border-top: 1px solid black; margin-top: 10px; text-align: center;">Signature</div> <div style="border-top: 1px solid black; margin-top: 10px; text-align: center;">Typed or Printed Name of Person Signing Certificate</div>			<div>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on</div> <div style="border-top: 1px solid black; margin-top: 10px;">(Date)</div> <div style="border-top: 1px solid black; margin-top: 10px; text-align: center;">Signature of Person Mailing Correspondence</div> <div style="border-top: 1px solid black; margin-top: 10px; text-align: center;">Typed or Printed Name of Person Mailing Certificate</div>		
<b>*This certificate may only be used if paying by deposit account.</b>					
<div style="text-align: center;"> Signature</div> <div>Jeff Rothenberg, Reg. No. 26,429 Heslin Rothenberg Farley &amp; Mesiti P.C. 5 Columbia Circle Albany, NY 12203 Tel: 518-452-5600 Fax: 518-452-5579 E-mail: jr@hrfmlaw.com</div>			Dated: May 25, 2006		
CC:					

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>2488.038</b>	APPLICATION NO. <b>165801/3 GAU 1615</b> <b>10/7/05 50875</b> <b>Unknown</b>
	APPLICANT(S) <b>Levin et al.</b>	
	FILING DATE <b>Herewith</b>	
	GROUP ART UNIT <b>Unknown</b>	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	6,490,482	12/03/2002	Mori et al.	604	20	
	AB	5,961,482	10/05/1999	Chien et al.	604	20	
	AC	5,418,222	05/23/1995	Song et al.	602	49	
	AD	5,543,098	08/06/1996	Myers et al.	264	104	
	AE	6,148,232	11/14/2000	Avrahami	604	20	

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AF	2003/0143274	07/31/2003	Viegas et al.	424	486	

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA	WO2004/039428	05/13/2004	PCT	A61B	5/04		

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
		CA	Nave, Electromagnetic Spectrm, HyperPhysics website of Georgia State University, <a href="http://hyperphysics.phy-astr.gsu.edu/hbase/ems2.html">http://hyperphysics.phy-astr.gsu.edu/hbase/ems2.html</a> , printed on Nov. 1, 2005, 4 pp.

EXAMINER <div style="text-align: center;">/Devesh Srivastava/</div>	DATE CONSIDERED <div style="text-align: center;">01/28/2011</div>
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. 2488.038	APPLICATION NO. 103801935 GAU 875
	Levin et al. <b>'AP9 Rec'd PCT/PTO 25 MAY 2006'</b>	
	FILING Herewith	GROUP ART Unknown

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AG	6,597,946	07/22/2003	Avrahami	604	20	
	AH	6,611,706	08/26/2003	Avrahami	604	20	
	AI	6,708,060	03/16/2004	Avrahami	604	20	
	AJ	6,711,435	03/23/2004	Avrahami	604	20	
	AK	5,512,301	04/30/1996	Song et al	424	484	

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>

EXAMINER      /Devesh Srivastava/	DATE CONSIDERED      01/28/2011
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	Levin et al. <span style="float: right;">APR 25 2009</span>	
	FILING <b>Herewith</b>	GROUP ART <b>Unknown</b>

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AL	5,681,568	10/28/1997	Goldin et al.	424	184.1	
	AM	6,596,293	07/22/2003	Bootman et al.	424	422	
	AN	6,275,728	08/14/2001	Venkatraman	604	20	

U.S. PATENT APPLICATION PUBLICATIONS							
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FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			

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